

IMPORTANT MESSAGE

Due to the change of our company name from Lippo Securities Limited to Funderstone Securities Limited. Please check for updates for the exact timing of the bank account name change, which we will display below and on pages 4-5 of this document before making any deposit/transfer of money to our bank accounts. The account number at each bank will remain the same.

重要通告

由於我們將由力寶證券有限公司改名為 Funderstone Securities Limited，煩請閣下留意我們有關銀行賬戶名稱更改之確實時間，在以下及第四至五頁載有存款至我們銀行賬戶之指示。我們的銀行賬戶號碼將維持不變。

New Account Name in Use/新帳戶名稱

Bank Name/銀行名稱	Account Name/帳戶名稱	Effective from/生效日期:
Bank of China (Hong Kong) 中國銀行（香港）	Funderstone Securities Limited	10/6/2019
Standard Chartered Bank Limited 渣打銀行	Funderstone Securities Limited	10/6/2019

FUNDERSTONE

FUNDERSTONE SECURITIES LIMITED

FUNDERSTONE FUTURES LIMITED

Methods to Make Deposits to Your Funderstone Securities/Futures Account

5th June, 2017

Dear Client,

In order to ensure our mutual compliance with Anti-Money Laundering and Counter-Financing of Terrorism regulations, **Funderstone Securities Limited and Funderstone Futures Limited (“Funderstone”)** will **not accept any third party deposits to your account if prior approval has not been granted by Funderstone.** Therefore, deposits to your Funderstone account should originate from or be drawn upon a bank account in your own name, NOT the name of a third party.

In addition, Funderstone does NOT accept cash deposits.

Please notify us of your deposit of funds according to the steps outlined below, which will enable us to confirm the identity of the depositor. Failure to follow these steps will unfortunately result in delays in crediting the funds to your Funderstone account and you will be liable for any consequences of any delayed credits, including, but not limited to, forced liquidations resulting from failure to settle a margin call on time or interest charges.

For deposit made by CHATS or telegraphic transfer (‘T/T’)

Generally, deposits made by CHATS or T/T are accompanied with the depositors’ account name. To facilitate the deposit process, please send us further notification informing us of **your Funderstone account number** to be credited the funds and the amount remitted by email at deposits@funderstonesec.com, by WhatsApp at +852 6205-2556 or by facsimile at 2913-9870.

For deposit made by cheque

Please send us a message stating **your Funderstone account number** with a copy/image of BOTH the cheque and the bank deposit slip either by email at deposits@funderstonesec.com, by WhatsApp at +852 6205-2556 or by facsimile at 2913-9870.

Either your name, as the payer, must be clearly visible on the cheque or your bank account number on the cheque must match Funderstone’s record of your bank account number. If you fail to provide us with a copy/image of the cheque, we will need to request the bank to provide it to us at your expense. This will cause a delay of approximately 2 weeks in crediting the funds to your account.

For deposit made by ATM or bank transfer

Please send us a message stating **your Funderstone account number** with a copy of the bank deposit slip either by email at deposits@funderstonesec.com, by WhatsApp at +852 6205-2556 or by facsimile at 2913-9870.

If your name is partially hidden on the deposit slip for privacy reasons, please ensure that the depositor's bank account number is at least partially visible in order that we can match it to the record we have for your bank account. If you fail to provide us with a copy of the deposit slip showing sufficient information, we will need to request the bank to provide the depositor's details to us at your expense. This will cause a delay of approximately 2 weeks in crediting the funds to your account.

For deposit made by other methods

If you make a deposit to our bank accounts by other methods, please ensure that your name, as the depositor, is visible on the confirmation and email a scanned copy of the confirmation to us at deposits@funderstonesec.com and state **your Funderstone account number** in the message.

Important Notes

To avoid any delays in credits, you should always have your bank accounts registered with Funderstone prior to depositing funds. To register your bank account details, please complete and return the "Change of Information / New Password Request Form" to our office. The "Change of Information / New Password Request Form" is available for download on http://www.funderstonesec.com/download/LSL_ChangeInfo.pdf. You may register more than one bank account provided that each account is in your own name.

If any deposit is found to have been made from a third party, Funderstone shall conduct appropriate enquiries about the third party funds, such as questioning the reason for such deposit. This will cause either delays or rejection on the funds. Funderstone reserves the right to reject and return the third party funds to the depositor and if so, you will be liable for all bank charges incurred.

Our email address and WhatsApp number are for the purpose of receipt of deposit notifications only. For other enquiries, please contact our general hotline at 2845-7711 or your Account Executive.

Please be reminded that all deposits should be made to the designated bank accounts, not to any other personal accounts (including our staff). For our bank account details, please visit our website: <http://www.funderstonesec.com/customer-service/fund-deposit-withdrawal>.

If you have any enquiries of the above, please contact your Account Executive.

Yours faithfully,

Funderstone Securities Limited

Funderstone Futures Limited

DEPOSIT METHODS 存款方法 – (1)

For Hong Kong Dollars and Renminbi 只供港幣及人民幣

By mailing a crossed cheque 郵寄支票

- Please issue crossed cheque made payable to “Funderstone Securities Limited”.
支票抬頭為「Funderstone Securities Limited」。
- Please write your six-digit account number with Funderstone at the back of the cheque for identification.
請將在 Funderstone 的六位戶口號碼寫上支票背後以茲識別。
- Please send the crossed cheque to “1802 Tower One, Lippo Centre, 89 Queensway, Central, Hong Kong”.
請將劃線支票郵寄至香港金鐘道八十九號力寶中心一座 1802 室。

By bank deposit or transfer 銀行入賬或轉賬至港幣及人民幣戶口

(Cut-off time 4:00 p.m. 截數時間 4:00 p.m.)

Please deposit into the following HK\$ and CNY bank accounts and fax (no. 29139870), or e-mail (deposits@funderstonesec.com) or whatsapp (no. +852 6205 2556) the pay-in-slip, bank advice and other supporting documents as required to us immediately for our verification purpose. Please specify client name and client number on pay-in-slip or bank advice to avoid delay in crediting client account. 入數後，請立即將銀行入數紙，通知書或其他特定文件傳真至 29139870、電郵 (deposits@funderstonesec.com)、或 whatsapp (no. +852 6205 2556) 至本公司以茲核實。請於入數紙或通知書註明戶口名稱及號碼，以免延誤。

- **Standard Chartered Bank Limited** 渣打銀行
HK\$ C/A No. : 447-0-047077-0 港幣收款戶口號碼 : 447-0-047077-0
CNY S/A No. : 447-1-777149-3 人民幣收款戶口號碼 : 447-1-777149-3
Name of Account: Funderstone Securities Limited 收款戶口名稱 : Funderstone Securities Limited
- **CITIC Bank International** 中信銀行(國際)
HK\$ C/A No. : 735-202-470000 港幣收款戶口號碼 : 735-202-470000
CNY S/A No. : 694-155-068618 人民幣收款戶口號碼 : 694-155-068618
Name of Account: Lippo Securities Limited 收款戶口名稱 : 力寶證券有限公司
- **Bank of China (Hong Kong)** 中國銀行(香港)
HK\$ C/A No. : 012-875-00312943 港幣收款戶口號碼 : 012-875-00312943
CNY C/A No. : 012-875-06018599 人民幣收款戶口號碼 : 012-875-06018599
Name of Account: Funderstone Securities Limited 收款戶口名稱 : Funderstone Securities Limited
- **Hang Seng Bank** 恒生銀行
HK\$ C/A No. : 267-141000-002 港幣收款戶口號碼 : 267-141000-002
Name of Account: Lippo Securities Limited 收款戶口名稱 : 力寶證券有限公司
- **HSBC** 香港匯豐銀行
HK\$ C/A No. : 502-103906-002 港幣收款戶口號碼 : 502-103906-002
Name of Account: Lippo Securities Limited 收款戶口名稱 : 力寶證券有限公司

IMPORTANT 注意事項

- Please do not send cash. 請勿郵寄現金。
- Only deposit fund to the designated bank accounts, not to any other personal accounts. 款項只可存入指定之銀行賬戶，不可存入他人私人賬戶。
- Upon receipt of your deposit, we will send a daily statement to you confirming the receipt of your funds on the following business day. 收到客戶存款後，本公司將於下一個工作天寄出日結單予客戶以茲核對。

DEPOSIT METHODS 存款方法 – (2)
For other major foreign currencies 其他主要外幣

By remittance 匯款

The inward remittance bank details are as follows: 匯款詳細資料如下:

<p>AUD 澳元 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-1-091719-0 * By order of :</p>	<p>CAD 加元 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-1-091710-7 * By order of :</p>
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<p>EUR 歐元 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-1-091727-1 * By order of :</p>	<p>GBP 英鎊 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-1-091702-6 * By order of :</p>
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<p>JPY 日元 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-1-091706-9 * By order of :</p>	<p>SGD 新加坡元 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-0-070721-5 * By order of :</p>
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<p>USD 美元 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-1-078287-2 * By order of :</p>	<p>CNY 人民幣 Standard Chartered Bank, Hong Kong Swift code: SCBLHKHHXXX In favour of : Funderstone Securities Ltd. – Clients’ A/C A/C No.: 447-1-777149-3 * By order of :</p>
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* Please indicate your name and six-digit account with Funderstone Securities Limited under “By order of”.
 請於匯款人一項寫上客戶姓名及在 Funderstone Securities Limited 的六位戶口號碼以茲識別。

By cheque deposit (USD) 支票存款 (USD)

For local clearing USD cheque deposit with local banks, we will **credit client account on the following business day**.
 凡於本地銀行存入本地結算的美元支票，存款須於下一個工作日才確認。