

Update on Personal Information Collection Statement

Dear Customer,

The new Part VI A under the Personal Data (Privacy) (Amendment) Ordinance 2012 has taken effect on 1st April 2013. Under the new regulatory regime, we may not use your personal data for direct marketing unless we have received your consent.

We hereby inform you that Funderstone Securities Limited and its group companies ("FUNDERSTONE") may use your personal data including the name, contact details, products and other service portfolio information, transaction pattern and behaviour, financial background and demographic data of a customer for our direct marketing activities including financial services or related products. The provision of the data to the group companies may be for gain.

If you do not wish FUNDERSTONE to use your data for use in direct marketing as described above, you shall notify FUNDERSTONE in writing or by completing the following reply slip (Correspondence address: 1802 Tower One, Lippo Centre, 89 Queensway, Central, H.K.; Fax no.: (852) 2533 7363)

Yours sincerely,

Funderstone Securities Limited

Please sign at the end of this letter to indicate your agreement to such use. Should you find such use of your personal data not acceptable, please indicate your objection before signing by ticking the box below.

- I/We, the undersigned, object to the proposed use of my/our personal data in direct marketing.
- I/We, the undersigned, object to the proposed provision of my/our personal data to group companies of Funderstone Securities Limited for use in direct marketing.

Signature of the customer

Name:

Account No.:

Date:

個人資料收集聲明更新通知

親愛的客戶：

《2012年個人資料(私隱)修訂條例》新增的第VI A 部已於2013年4月1日實施。根據上述條例新增的部份規定，本公司須取得資料當事人的同意方可使用其個人資料作直接促銷活動。

本公司現通知 閣下 Funderstone Securities Limited 及其集團成員公司 (“FUNDERSTONE”) 有意使用 閣下的個人資料包括客戶姓名、聯絡資料、產品及其他服務組合資料、交易模式及行爲、財務背景及人口統計數據作直接促銷活動，包括金融服務或相關產品。而提供該資料給集團成員公司有可能是為得益的。

如客戶不希望FUNDERSTONE如上述使用其資料作直接促銷用途，客戶必須以書面或填妥以下回條通知FUNDERSTONE (地址: 香港中環金鐘道89號力寶中心一座1802室; 傳真: (852) 2533 7363)

此致

Funderstone Securities Limited

請表示你同意如此使用你的個人資料，如你不同意，請在以下空格加上「✓」號，然後簽署。

- 本人/吾等 (姓名如下) 反對使用個人資料於擬作出的直接促銷。
- 本人/吾等 (姓名如下) 反對將其個人資料提供予Funderstone Securities Limited 之集團成員公司作直接促銷用途。

客戶簽署
賬戶號碼:
姓名:
日期: